

DOCKET NO.: 5266P004X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MASATO OTSUKA, ET AL.

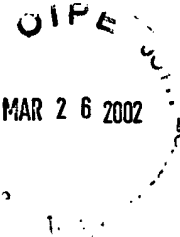
Application No.: 09/939,421

Filed: August 24, 2001

For: **METHOD OF CONTROLLING THE
OPERATIONS AND DISPLAY MODE OF
AN OPTICAL DISC PLAYER BETWEEN A
VIDEO PLAYBACK MODE AND A USER
AGENT MODE**

Art Group: 2652

Examiner:



Assistant Commissioner for Patents
Washington, D.C. 20231

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TRANSMITTAL OF FORMAL DRAWINGS

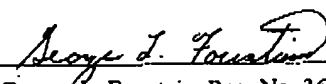
Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 5 sheets including 7 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

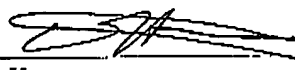
Blakely, Sokoloff, Taylor & Zafman LLP

Dated: November 14, 2001


George L. Fountain, Reg. No. 36,374

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: November 14, 2001.


Eric Hoover

11/14/01

Date

MAR. 26. 2002 3:34PM

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NO. 0947 P. 18/33

TORNEY DOCKET NO : 5266P004X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MASATO OHSUKA, ET AL.

Application No.: 09/939,421

Filed: August 24, 2001

For: METHOD OF CONTROLLING THE OPERATIONS AND
PLAYBACK MODE OF AN OPTICAL DISC PLAYER BETWEEN
PLAYBACK MODE AND A USER AGENT MODE

Attention: Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

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RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts mailed September 13, 2001, please find enclosed:

- a duly executed Declaration and Power of Attorney and payment in the amount of \$240.00 for the surcharge of 37 CFR § 1.16(e);
- substitute drawings in compliance with 37 CFR § 1.16(e);
- a Petition for Extension of Time and payment in the amount of \$110.00; and
- and
- copy of the Notice to file Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: November 14, 2001

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025

George L. Fountain
George L. Fountain, Reg. No. 36,374

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: November 14, 2001.

Eric Hoover 11/14/01
Date

Blakely Sokoloff Taylor & Zafman LLP

3200 Park Center Drive, Suite 700

Costa Mesa, CA 92626

Phone: (714) 557-3800

Fax: (714) 557-3347

Facsimile Cover SheetCOPY OF PAPERS
ORIGINALLY FILED
01/02/02

MAR 26 2002

Fax Transmission To

USPTO (OIPE)

(703) 746-4060

Regarding

09/939,421

(33 pages total)

Fax Transmission From

George L. Fountain

March 26, 2002

In response to the Request for Substitute Papers, Applicant herewith attaches the following documents: 1) a signed cc of the Request for Substitute Papers; 2) a copy of the date receipt card as returned to our office; 3) a copy of the original date receipt card as originally mailed; 4) check #12686 in the amount of \$40.00; 5) Transmittal Form; 6) Fee Transmittal; 7) Assignment with cover sheet; 8) a copy of the date receipt card as returned to our office; 9) a copy of the original date receipt card as originally mailed; 10) check #12689 in the amount of \$110.00; 11) Transmittal Form; 12) Fee Transmittal; 13) Petition for a One-Month Extension of Time; 14) check #12685 in the amount of \$130.00; 15) Response to Notice of File Missing Parts; 16) copy of Notice to File Missing Parts; 17) executed Declaration and Power of Attorney; 18) Transmittal of Formal Drawings with 5 sheets of drawings; 19) Information Disclosure Statement; and 20) PTO/SB/08

If you do not receive all the pages, or if there is any difficulty in receiving, please call (714) 557-3800 and ask for Eric Hoover.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,421	08/24/2001	Masato Otsuka	52661P004X

CONFIRMATION NO. 4119

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

FORMALITIES LETTER

OC000000006551302

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

MAR 26 2002

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/939,421	08/24/2001	Otsuka, Masato	5266P004X

8791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES CA 90025

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

RECEIVED This Method of controlling the operations and display mode of an optical disc player between a video playback mode and a user agent mode

Date Mailed: 03/21/2002

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BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
COSTA MESA

Request for Substitute Papers

The papers filed on 02/07/02 (certificate of mailing dated 11/14/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Greg L. Fountain Registration No.: 36, 374

Signature: Greg L. Fountain Date: March 26, 2002

MAR. 26. 2002 3:30PM

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NO. 0947 P. 3/33

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Application No.: 09/939,421 Filing Date: 09/24/01 BSTZ Docket #: 5286P004X Atty/Sec: OLF/erh
Date Mailed: 11/16/2001 Docket Due Date(s): Client: Regasonic Disc Services Corporation

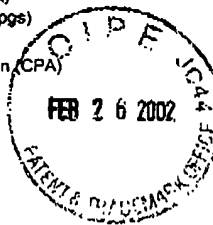
Title METHOD OF CONTROLLING THE OPERATIONS AND DISPLAY MODE OF AN OPTICAL DISC PLAYER BETWEEN A VIDEO PLAYBACK MODE AND A USER AGENT MODE

Inventor(s) Olsuka Devarajan, Kulkarni

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|---|--|
| <input type="checkbox"/> Amendment: (___ pgs) | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs) |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs) | <input type="checkbox"/> Issue Fee Transmittal (original & copy) |
| <input type="checkbox"/> Application: (___ pages w/ cover & abstract) | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Assignment & Cover Sheet (3 pgs) | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Certificate of | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Reply Brief (___ pgs) |
| <input type="checkbox"/> Declaration & POA (___ pgs) | <input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) |
| <input type="checkbox"/> Drawings: ___ sheets, ___ figures | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input type="checkbox"/> Express Mail No: _____ | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Extension of Time: _____ | <input type="checkbox"/> Terminal Disclaimer |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy) | <input type="checkbox"/> Transmittal of Formal Drawings |
| <input type="checkbox"/> Other: _____ | <input type="checkbox"/> Transmittal of Publication Fee Due |
| | <input checked="" type="checkbox"/> Transmittal Letter |
| | <input checked="" type="checkbox"/> Check No. 12686 In the Amount of \$40.00 |
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Director of the United States Patent
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Mary L. Farrant

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AMOUNT

\$ 130.00

DATE

DESCRIPTION

11/13/2001

U.S. Patent and Trademark Office notice to file missing parts
filing fee for METHOD OF CONTROLLING THE OPERATIONS AND
DISPLAY MODE OF AN OPTICAL DISC PLAYER BETWEEN A VIDEO
PLAYBACK MODE AND A USER AGENT MODE
09/939,421
005266.P004X GLF/erh
Panasonic Disc Services Corp.

SEP 2001

12685

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NO. 0947

P. 5/33

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3200 PARK CENTER DRIVE, SUITE 700
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Date: 11/13/01

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DATE	DESCRIPTION
11/13/2001	U.S. Patent and Trademark Office recordation of assignment fee for METHOD OF CONTROLLING THE OPERATIONS AND DISPLAY MODE OF AN OPTICAL DISC PLAYER BETWEEN A VIDEO PLAYBACK MODE AND A USER AGENT MODE 09/939.421 005266.P004X GLF/ern Panasonic Disc Services Corp.

12686

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MAR 26 2002

NO. 0947 P. 13/33

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

3200 PARK CENTER DRIVE, SUITE 700
COSTA MESA, CA 92626



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Date: 11/14/01

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OF

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and Trademark Office

George T. Fountain
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IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED

AMOUNT

\$ 110.00

DATE

DESCRIPTION

11/14/2001 U.S. Patent and Trademark Office extension of time fee for
METHOD OF CONTROLLING THE OPERATIONS AND DISPLAY MODE OF
AN OPTICAL DISC PLAYER BETWEEN A VIDEO PLAYBACK MODE AND
A USER AGENT MODE
09/939,421
005266.P004X GLF/erb
Panasonic Disc Services Corporation

12689

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JAN 14 2002BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELESRECEIVED
JAN 17 2002
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
COSTA MESA

Application No.: 09/039,421 Filing Date: 08/24/01 BSTZ Docket #: 5266P004X Atty/Sec: SLF/ah
 Date Mailed: 1/14/2002 Docket Due Date(s): 12/13/2001 Client: Panasonic Disc Services Corporation

Title METHOD OF CONTROLLING THE OPERATIONS AND DISPLAY MODE OF AN
OPTICAL DISC PLAYER BETWEEN A VIDEO PLAYBACK MODE AND A USER AGENT
MODE

Inventor(s) Otsuka, Devarajan, Kulkarni

The following has been received in the U.S. Patent & Trademark Office on the date stamped herein:

- | | |
|---|--|
| <input type="checkbox"/> Amendment (___ pgs) | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs) |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs) | <input type="checkbox"/> Issue Fee Transmittal (original & copy) |
| <input type="checkbox"/> Application: | <input type="checkbox"/> Notice of Appeal |
| (___ pages w/ cover & abstract) | <input type="checkbox"/> Petition for: ___ |
| <input type="checkbox"/> Assignment & Cover Sheet (___ pgs) | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input checked="" type="checkbox"/> Reply Brief (___ pgs) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) |
| <input checked="" type="checkbox"/> Declaration & POA (___ pgs) | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input checked="" type="checkbox"/> Drawings: <u>5</u> sheets, <u>7</u> figures | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Express Mail No: ___ | <input type="checkbox"/> Terminal Disclaimer |
| <input checked="" type="checkbox"/> Extension of Time: <u>one (1) month</u> | <input checked="" type="checkbox"/> Transmittal of Formal Drawings |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy) | <input type="checkbox"/> Transmittal of Publication Fee Due |
| <input checked="" type="checkbox"/> Other <u>cc of Notice to File Missing Parts</u> | <input checked="" type="checkbox"/> Transmittal Letter |
| | <input checked="" type="checkbox"/> Check No. 12689 in the Amount of \$110.00 |
| | <input checked="" type="checkbox"/> Check No. 12685 in the Amount of \$130.00 |

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PTO/5B17 (10-01)

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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 240.00

Complete if Known

Application No. 09/939,421
Filing Date August 24, 2001
First Named Inventor Masato Otsuka
Examiner Name
Group/Art Unit 2652
Attorney Docket No. 5266P004X

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 02-2666
- Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP
- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
- ☐ Applicant claims small entity status See 37 CFR 1.27.

2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 240.00

SUBMITTED BY

Name (Print/Type) George L. Fountain

Registration No. (Attorney/Agent)

36,374

Telephone

(714) 557-3800

Signature

George L. Fountain

Date

11/14/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0551-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/939,421
		Filing Date	August 24, 2001
		First Named Inventor	Masato Otsuka
		Group Art Unit	2652
		Examiner Name	
Total Number of Pages in This Submission	20	Attorney Docket Number	5266P004X

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MAR 26 2002

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px;"> cc of Notice to File Missing Parts; Form PTO/SB/08A; Transmittal of Formal Drawings; Declaration and Power of Attorney </div>
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	George L. Fountain, Reg. No. 36,374 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>George L. Fountain</i>
Date	November 14, 2001

CERTIFICATE OF MAILING/TRANSMISSION			
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			November 14, 2001
Typed or printed name	Eric Hoover		
Signature	<i>Eric Hoover</i>	Date	November 14, 2001

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NO. 0947 P. 7/33

MAR 26 2002

PTO/SB/17 (10-01)

Approved for use through 10/31/2002 OMB 05-1-0052
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**FEE TRANSMITTAL
for FY 2000**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 40.00**Complete if Known**

Application No.	09/939,421
Filing Date	August 24, 2001
First Named Inventor	Masato Otsuka
Examiner Name	
Group/Art Unit	2652
Attorney Docket No.	5266P004X

RECEIVED**APR 12 2002****METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒
- Charge Any Additional Fee(s) Required
-
- Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27.

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below
28	**	X
4	***	X
Multiple Dependent		

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)**3. ADDITIONAL FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	*Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	*Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

40.00

SUBMITTED BY

Name (Print/Type) George L. Fountain

Registration No.
(Attorney/Agent)

36,374

Telephone

(714) 557-3800

Signature

George L. Fountain

Date

11/14/01

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PTO/SB/21 (12/97)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/939,421
		Filing Date	August 24, 2001
		First Named Inventor	Masato Otsuka
		Group Art Unit	2652
		Examiner Name	
Total Number of Pages in This Submission	6	Attorney Docket Number	5266P004X

OIPF
MAR 26 2002

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 60px; width: 150px; margin-top: 10px;"></div>
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